



T-104
2022

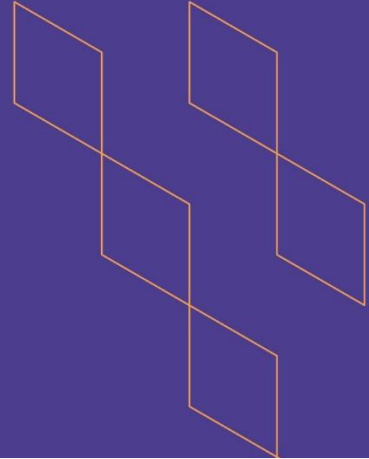
Course Specification





T-104
2022

Course Specification



| |
|--|
| Course Title: Financial Markets and Institutions |
| Course Code: FIN 323 |
| Program: BSBA |
| Department: Finance |
| College: CBA |
| Institution: Prince Sattam Bin Abdulaziz University |
| Version: |
| Last Revision Date: 15th January 2023 |



Table of Contents:

| Content | Page |
|--|------|
| A. General Information about the course | 3 |
| 1. Teaching mode (mark all that apply) | 3 |
| 2. Contact Hours (based on the academic semester) | 3 |
| B. Course Learning Outcomes (CLOs), Teaching Strategies and Assessment Methods | 4 |
| C. Course Content | 5 |
| D. Student Assessment Activities | 5 |
| E. Learning Resources and Facilities | 6 |
| 1. References and Learning Resources | 6 |
| 2. Required Facilities and Equipment | 6 |
| F. Assessment of Course Quality | 7 |
| G. Specification Approval Data | 7 |





A. General information about the course:

| Course Identification | |
|--|--|
| 1. Credit hours: | 4 |
| 2. Course type | |
| a. | University <input type="checkbox"/> College <input type="checkbox"/> Department <input checked="" type="checkbox"/> Track <input type="checkbox"/> Others <input type="checkbox"/> |
| b. | Required <input checked="" type="checkbox"/> Elective <input type="checkbox"/> |
| 3. Level/year at which this course is offered: | 6 th level, Third year |
| 4. Course general Description | |
| 5. Pre-requirements for this course (if any): FIN 211 | |
| 6. Co- requirements for this course (if any): | |
| 7. Course Main Objective(s) This course describes financial markets and institutions by providing a conceptual framework to understand why markets exist and what their role is in the economic environment. Each type of financial market is described with a focus on its utilization by financial institutions, its internationalization, and recent developments. Also, their structure, nature, regulatory aspects, management, use of financial markets, and performance are discussed. | |

1. Teaching mode (mark all that apply)

| No | Mode of Instruction | Contact Hours | Percentage |
|----|--|---------------|------------|
| 1. | Traditional classroom | 44 | 100% |
| 2. | E-learning | | |
| 3. | Hybrid <ul style="list-style-type: none"> • Traditional classroom • E-learning | | |
| 4. | Distance learning | | |

2. Contact Hours (based on the academic semester)

| No | Activity | Contact Hours |
|----|-------------------|---------------|
| 1. | Lectures | 44 |
| 2. | Laboratory/Studio | |
| 3. | Field | |
| 4. | Tutorial | |
| 5. | Others (specify) | |
| | Total | 44 |



B. Course Learning Outcomes (CLOs), Teaching Strategies and Assessment Methods

| Code | Course Learning Outcomes | Code of CLOs aligned with program | Teaching Strategies | Assessment Methods |
|------|--|-----------------------------------|---|---------------------------------|
| 1.0 | Knowledge and understanding | | | |
| 1.1 | Recognize the importance of financial system and how they are structured with different markets and institutions | | Lecture Group discussion | Quizzes assignments Exams |
| 1.2 | Learning about different types of financial markets and variety of financial instruments. | | Lecture Group discussion | Quizzes assignments Exams |
| 1.3 | Explain the regulatory aspects of financial markets | | Lecture Group discussion | Quizzes assignments Exams |
| 2.0 | Skills | | | |
| 2.1 | Evaluate the performance and various uses of Financial Institutions | | Lecture Mini project Case studies | Mini project |
| 2.2 | Develop critical thinking skills by discussing the role of financial market in a country | | Lecture Mini project Case studies | Mini project |
| 2.3 | Appraise various functions of financial markets | | Lecture Mini project Case studies | Mini project |
| 3.0 | Values, autonomy, and responsibility | | | |
| 3.1 | Evaluate the performance and various uses of Financial instruments | | Presentation and discussion | |
| 3.2 | Appraise various functions of financial markets | | Presentation and discussion | |
| ... | | | | |



C. Course Content

| No | List of Topics | Contact Hours |
|--------------|--|---------------|
| | Introduction to Financial markets | |
| 1. 1 | Introduction to Financial System – Structure & Elements - KSA Financial System – Financial Markets – Types of Financial Markets | 12 |
| | Evolution and Growth of Financial Institutions | |
| 2 | What Financial Institutions Do - Role of Financial Institutions –Why Financial Markets Exists - Deposit Taking Financial Institutions – Non Deposit Taking Financial Institutions – History and Growth | 8 |
| | Regulatory Aspects | |
| 3 | Regulators- Functions – Features – Regulations- Saudi Market Regulators – SAMA – CMA - SAMA's Insurance Supervision Department | 8 |
| | Financial Institutions - It's Internationalization - Recent Developments | |
| 4 | Saudi Industrial Export Strategy (SEDC, SEDA) - Saudi Arabia's Trading Patterns (Exports & Import Diversity) –Saudi Arabia and WTO – Foreign Direct Investment – Islamic Finance | 8 |
| | Uses And Performance Of Various Financial Institutions | |
| 5 | Uses Of Financial Institutions- To Society- To Corporate Bodies & Individuals- Performance Of Saudi Financial Institutions (Saudi Capital Market Performance - SAMA Inflation Controls). | 8 |
| Total | | 44 |

D. Students Assessment Activities

| No | Assessment Activities * | Assessment timing (in week no) | Percentage of Total Assessment Score |
|----|--------------------------------|---|--------------------------------------|
| 1 | Mid Term Examination | 9 th | 25% |
| 2 | Quizzes | 4 th , 6 th , and 8 th | 15% |
| 3 | Mini Project with Presentation | 9 th | 10% |
| 4 | Final Term Examination | 12 th and 13 th | 50% |

*Assessment Activities (i.e., Written test, oral test, oral presentation, group project, essay, etc.)





E. Learning Resources and Facilities

1. References and Learning Resources

| | |
|--------------------------|---|
| Essential References | <p>1. (required test book) Jeff Madura. (2009). Financial Institutions and Markets: International Students Edition. (9th Edition).</p> <ul style="list-style-type: none"> • Mohamed A. Ramady, (2010), The Saudi Arabian Economy – Policies, Achievements and Challenges, Springer, (2nd Edition). Report Journal of International Financial Markets, Institutions & Money |
| Supportive References | <ul style="list-style-type: none"> • Peter Howells, Keith Bain. (2007). Financial Markets & Institutions: Pearson Education. (5th edition). • Frederic Mishkin, Stanley Eakins. (2008). Financial Markets & Institutions: Pearson Education. (6th edition). • Barbara Casu, Claudia Girardone, & Philip Molyneux. (2006). Introduction to Banking: Pearson Education. • Bhole. L. (2004). Financial Institutions & Markets: Pearson Education (4th edition). |
| Electronic Materials | <p>Websites on the internet that are relevant to the topics of the course:</p> <ul style="list-style-type: none"> • Tadawul: www.tadawul.com.sa/ • CMA: http://www.cma.org.sa/en/Pages/home.aspx <p>http://www.journals.elsevier.com/journal-of-international-financial-markets-institutions-and-money/</p> |
| Other Learning Materials | <p>Multi-media associated with the text book and the relevant websites</p> |

2. Required Facilities and equipment

| Items | Resources |
|--|--|
| facilities (Classrooms, laboratories, exhibition rooms, simulation rooms, etc.) | Lecture room with capacity at least 15 seat |
| Technology equipment (projector, smart board, software) | Computer with internet connection and unique data sets for each students depending on the class size. Computer Lab for MS Excel assignments |
| Other equipment (depending on the nature of the specialty) | |



F. Assessment of Course Quality

| Assessment Areas/Issues | Assessor | Assessment Methods |
|---|----------|--------------------|
| Effectiveness of teaching | students | Indirect |
| Effectiveness of students assessment | students | Indirect |
| Quality of learning resources | students | Indirect |
| The extent to which CLOs have been achieved | students | Indirect |
| Other | | |

Assessor (Students, Faculty, Program Leaders, Peer Reviewer, Others (specify))

Assessment Methods (Direct, Indirect)

G. Specification Approval Data

| | |
|--------------------|-------------------|
| COUNCIL /COMMITTEE | |
| REFERENCE NO. | |
| DATE | 15th January 2023 |

